

02-11-02

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COMMERCE

UTILITY
PATENT APPLICATION
TRANSMITTAL(Only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket No. 37125/0110/31374

First Inventor Girish R. Kamath

Title Autotransformer-Based System And Method Of Current
Harmonics Reduction In A Circuit

Express Mail No. EL474164118US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent
application contents.ADDRESS TO: Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

1. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
2. ☒ Specification [Total Pages 41]
(preferred arrangement set forth below)
☒ Descriptive title of the invention
☐ Cross Reference to Related Applications
☐ Statement Regarding Fed sponsored R & D
☐ Reference to sequence listing, a table,
or a computer program listing appendix
☒ Background of the Invention
☒ Brief Summary of the Invention
☒ Brief Description of the Drawings (if filed)
☒ Detailed Description
☒ Claim(s)
☒ Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 12]
4. ☒ Oath or Declaration [Total Pages 3]

- ☐ Newly executed (original or copy)
☐ Unexecuted
☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
15. ☒ Certificate of Express Mail
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

Prior application information:

Examiner Jeffrey L. Sterrett

of prior application No.: 09/818,068

Group Art Unit: 2838

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is
supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby
incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted
application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

021888

(Insert Customer No. or Attach
bar code label here)or ☒ Correspondence address below

Name Caroline G. Chicoine Registration No. (Attorney/Agent) 38,198

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One Firststar Plaza, Suite 3500

City St. Louis State MO Zip Code 63101

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form
should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: ASSISTANT
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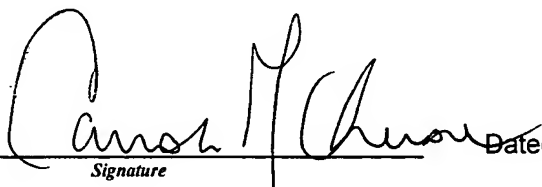
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PATENT APPLICATION FEE DETERMINATION RECORD				Application or Docket Number 37125/0110/31374			
CLAIMS AS FILED - PART I				SMALL ENTITY		OR	OTHER THAN SMALL ENTITY
(Column 1)		(Column 2)					
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE		RATE	FEE
BASIC FEE (37 CFR 1.16(a))					OR		\$740.00
TOTAL CLAIMS (37 CFR 1.16(c))	8 minus 20 =	0	x _____ =	0.00	OR	x \$18.00 =	0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	4 minus 3 =	1	x _____ =	0.00	OR	x \$84.00 =	84.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))			+ _____ =		OR	+ _____ =	
* If the difference in column 1 is less than zero, enter "0" in column 2			TOTAL	0.00	OR	TOTAL	824.00

202022752001

- ☐ A check in the amount of _____ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$824.00.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: February 7, 2002

Caroline G. Chicoine, Reg. No. 38,198
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Express Mail No. EL474164118US


Signature of Person Mailing Correspondence

Caroline G. Chicoine

Typed or Printed Name of Person Mailing Correspondence

EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10)**Express Mail No.**Docket No.
37125/31374In Re Application Of:
Girish R. Kamath.Serial No.
To be assignedFiling Date
To be assignedExaminer
To be assignedGroup Art
UnitTitle:
Autotransformer-Based System And Method of Current Harmonics Reduction In A Circuit**TO THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Transmitted herewith is:

Utility Patent Application Transmittal:

- ☒ Patent Application
☒ Drawings: 12 Sheets ☒ Formal ☐ Informal;
☒ Power of Attorney: ☒ Executed ☐ Not executed
☒ Declaration: ☒ Executed ☐ Not executed
☒ Assignment with Recordation Form
☐ 37 CFR 3.73(b) Statement
☒ Information Disclosure Statement with References (4)
☐ NonPublication Request under 35 U.S.C. 122(b)(2)(B)(i)
☒ Postcard
☐ A check in the amount of _____ is attached


Signature

Dated: February 7, 2002

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Signature of Person Mailing CorrespondenceCaroline G. Chicoine
Typed or Printed Name of Person Mailing Correspondence